

Docket No.: 051023-0027

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277  
Katsuhiro YADA : Confirmation Number: 4393  
Application No.: 10/551,474 : Group Art Unit: 2613  
Filed: September 30, 2005 : Examiner: Not yet assigned

For: OPTICAL COMMUNICATION SYSTEM HAVING OPTICAL AMPLIFICATION  
FUNCTION

**INFORMATION DISCLOSURE STATEMENT TRANSMITTING INTERNATIONAL  
PRELIMINARY REPORT ON PATENTABILITY**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the International Preliminary Report on Patentability listed on the attached form PTO-1449. It is respectfully requested that the International Preliminary Report on Patentability be expressly considered during the prosecution of this application, and that the International Preliminary Report on Patentability be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Submitted herewith is the International Preliminary Report on Patentability, issued March 2, 2006, concerning International Application No. PCT/JP2004/004664, filed on March 31, 2004, together with the Written Opinion of the International Searching Authority. The documents discussed in the International Preliminary Report were previously submitted on September 30, 2005 and should already be of record in this application.

Please charge any shortage in fees due in connection with the filing of this paper,  
including extension of time fees, to Deposit Account 500417 and please credit any excess fees to  
such deposit account.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

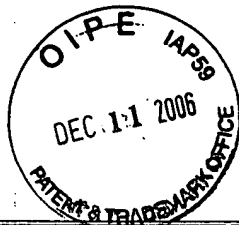
A handwritten signature in black ink, appearing to read "Stephen A. Becker", with a long horizontal stroke extending to the right.

Stephen A. Becker

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**Date: December 11, 2006**

**Please recognize our Customer No. 20277  
as our correspondence address.**



SHEET 1 OF 1

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (PTO-1449)	ATTY. DOCKET NO. <b>051023-0027</b>	SERIAL NO. <b>10/551,474</b>
	APPLICANT <b>Katsuhiro YADA</b>	
	FILING DATE <b>September 30, 2005</b>	GROUP <b>2613</b>

**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US			
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**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number + -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		<b>International Preliminary Report on Patentability issued in corresponding International Application No. PCT/JP/2004/004664, issued March 2, 2006 and mailed March 9, 2006</b>

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.